

## **GOLD STANDARD PASSPORT**

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**Annex 1 ODA declarations** 



### **SECTION A. Project Title**

Title: Song Bung 5 Hydropower Project

Date: 02/09/2013 Version no.: 2.0

### **SECTION B. Project description**

Song Bung 5 hydropower project activity, which is owned by Power Engineering Consulting Joint Stock Company 1, involves the construction of a two generating unit hydropower plant having installed capacity of 57MW. The main structures of the project include a dam, water intake, a power house, electricity distribution station etc. The project is located on Bung River in Ma Cooih commune, Dong Giang district and Thanh My town, Nam Giang district, Quang Nam province, Viet Nam.

Prior to the implementation of the project activity, electricity in Viet Nam is generated mainly from fossil fuel sources and is solely distributed to consumers via the unique national electricity grid.

The project's purpose is to generate hydroelectricity from Bung river, a clean and renewable source, to supply the national grid. The project's installed capacity and estimated annual gross power generation is 57 MW and 230,340 MWh, respectively. The net electricity generated (with an estimated annual volume of 226,884.9 MWh) will be supplied to the national grid via a newly constructed transmission line (length of around 13 km) from the plant to a transformer station.

The baseline scenario of the project activity is the same as the scenario existing prior to the start of implementation of the project activity.

The project activity will generate renewable power with negligible greenhouse gas (GHG) emissions, which will displace part of the electricity otherwise supplied by fossil fuel fired power plants in the national grid. The project involves construction of a reservoir with an area of 168 ha and a power density of 33.9  $\text{W/m}^2$ , accordingly. As the power density of this project is greater than 10  $\text{W/m}^2$ , the project is eligible to apply for GHG emission reductions. Total expected CO<sub>2</sub> emission reduction is 915,432 tCO<sub>2</sub> over the first crediting period of 7 years.

The project's contributions to the sustainable development of the local area as well as the host country are as follows:

### **General contributions towards national sustainable development:**

- In recent years, Viet Nam has suffered a critical electricity shortage as a consequence of rapidly increasing demand and insufficient supply, thereby imposing negative impacts on economic growth as well as on the daily lives of people. This project activity will be a contribution towards balancing the supply and demand gap. By exporting electricity directly to the national grid, it will help improve the quality of service and lessen the risks of power failure.
- Reducing reliance on exhaustible fossil fuel based power sources and also reducing the import of fuels for the purpose of power generation.
- Modern and highly efficient turbines and generators are being used in the project and the power transmission will be at high voltage to ensure low losses. The project will accelerate the deployment of renewable energy technologies in Viet Nam.

### **Contributions towards local sustainable development:**

a) Economic well-being



Once commissioned, this proposed project would increase the industrial share in the economic structure of Quang Nam province. This proposed project will significantly contribute to the state budget via taxes i.e. annual enterprise revenue tax, natural resource tax and CER tax.

By supplying a stable electricity output, this project will facilitate the industrialization process of the province and leverage the performance of traditional trade villages as well as tourism industry and services inside the province.

After commissioning, this project will supply electricity to speed up the commissioning of other large infrastructure projects in the region.

### b) Social well-being

The project improves existing roads, which will facilitate the transportation and travel. Thus, the project creates convenience for the transfer and trade in the area, thereby improves minorities' living standard and contribute to fill the gap in development between different ethnic groups in Viet Nam.

By supplying a stable electricity output, this project will facilitate the industrialization process of the province and support economic development of local villages through fostering tourism, trade and services inside the province. This project will contribute directly to improve the low-quality infrastructure systems of the mountainous commune.

The project will construct a new transmission line together with the hydropower plant, which will reduce electricity losses and improve the quality of electricity supply in the region.

The communication system and clean water treatment serving for workers of the project during the both construction and operation phases will be shared with local people. Besides, the project activity could result in the employment of the local people for the construction and operation later. Therefore, this project activity will contribute directly to alleviate poverty in the region.

This demonstrates that the project activity will contribute positively towards sustainable development and that it is consistent with the policies of the Government to encourage environmental protection.

Estimated project start date of operation: 30/11/2012

### **SECTION C. Proof of project eligibility**

### C.1. Scale of the Project

*Please tick where applicable:* 

Project Type	Large	Small
	X	



C.2. Host Country	
The Socialist Republic of Viet Nam	
C.3. Project Type	

Please tick where applicable:

Project type	Yes	No
Does your project activity classify as a Renewable Energy project?	X	
Does your project activity classify as an End-use Energy Efficiency Improvement project?		X
Does your project activity classify as waste handling and disposal project?		X



## Please justify the eligibility of your project activity:

- ➤ The CDM GS large-scale project activity is in the Renewable Energy Supply category, (Type (i): Renewable Energy Supply Projects) and applies the large scale baseline and monitoring methodology ACM0002, version 12.2.0, "Consolidated baseline methodology for grid-connected electricity generation from renewable sources".
- ➤ The project involves emission reductions of CO<sub>2</sub> from hydro power generation with total capacity of 57MW, which is greater than 15MW, and thus meets the eligibility criteria for large-scale GS eligible Renewable Energy Supply Project.
- ➤ The proposed project is not using any ODA funding as defined in the GS manual for Project Developers.
- ➤ Project also does not claim certificates from another Certification Scheme other than GS, therefore no double counting occurs and thus it is eligible under the Gold Standard.
- ➤ The project is located in Ma Cooih commune, Dong Giang district and Thanh My town, Nam Giang district, Quang Nam province, where are not listed as **High Conservation Value** areas according to criteria set out by the High Conservation Value Resource Network.
- ➤ The project is in compliance with the latest WCD guidelines. The WCD report has been validated by DOE appointed for the CDM validation.
- At the stage of project design, an Environmental Impact Assessment report was prepared by an independent and competent party. It was approved by the national authority and satisfactorily addressed environmental and social impact issues, as follows:

<b>Competing uses of water</b>	The proposed project is located on Bung River where there are other
resources	hydro plants namely Song Bung 2 Hydropower Plant, Song Bung 4
	Hydropower Plant, Song Bung 6 Hydropower Plant, etc. which are
	either under operation or in the construction period. When all of them
	are put into operation, there may be competing uses of water for the
	electricity generation. However, as provided for in the provincial master
	plan as well as in the national master plan, these shall be in close
	cooperation to ensure that there is no competition in the water use.
	Furthermore, there are very few residents living at the project location;
	therefore, almost no agricultural activities which require water from the
	river take place. Daily activities of the local residents also require very little water volume from Bung River as they mainly use water from
	drilled wells or naturally mountainous water cracks.
	difficed wells of flaterarry mountainous water cracks.
	It is concluded that there are no competing uses of water resources or
	water diversion from current use due to the proposed project activity.
3.6.	
Minimal ecological flow	The reservoir of Song Bung 5 Hydropower Plant is designed with daily
	circulation regime, which means water flow will be daily circulated to
	the downstream (even in the dry season) <sup>1</sup> . With this regime, the
	minimal flow is secured downstream after the dam, which guarantees
	habitant quality, securing the minimum water depth for fish migration

<sup>&</sup>lt;sup>1</sup> EIA report, chapter III, page 97



	during the construction and operation.
	during the construction and operation.
	Furthermore, the technical consultant proposed to design the dam with spillway that allows water to overflow and a sand discharge gate in order to ensure minimum water amount at the downstream and continuous water flow <sup>2</sup> .
Groundwater level	As described above, the minimal water flow is maintained, so the groundwater level is not affected by the project activity.
Fish passage and screens (water intake structure)	For migration of fish and other aquatic species, the dam is designed with spillway, which allows water to pass through daily (even in the dry season) and dam-bottom discharge gate. The water intake structure is installed with screens to avoid waste and fish getting in.
Sediment management	Larger part of suspended substances moving toward the reservoir of Song Bung 5 Hydropower Plant is deposited at the upstream reservoirs that are of annual circulation regime. However, in order to minimize substances flow into the reservoir and bed sedimentation, before the operation, the project owner shall cooperate with local authorities to conduct afforestation and reforestation to increase green cover and minimize topsoil erosion at the reservoir.
	The dam is designed with discharge gate that enables the sediments to pass through; therefore, there is no accumulation of sediments below the dams, and subsequently typically morphological structures are sustained.
Soil erosion	During the construction period, topsoil erosion may occur due to the excavation activities. However, proper mitigation measures will be applied including <sup>3</sup> :
	- The contractor shall arrange reasonable time and frequency for the earthwork e.g. excavation in order that it does not occur during the rainy season.
	- In building the access roads, taking advantage of original terrain, contour to restrict the slope breaking, talus building of high slope and large size.
	- In the worst case, it is necessary to grow grass or native plants that are of high covering speed or to embank the talus with rock for erosion prevention.
	- Minimize the vegetable clearance in the project site and surrounding areas.
	- Implement reforestation with the area equivalent to that lost due to the project activity.

Feasibility Study Report, page 4-2
 EIA report, chapter 4, pp 109-110



Pre Announcement	Yes	No
Was your project previously announced?		X

Explain your statement on pre announcement

Prior to any payment being made for the implementation of the project all announcements were indicating that the project was a CDM project i.e. stakeholders consultation meeting were held to inform of the proposed CDM project activity; official letters needed to obtained the support from the competent authorities for the CDM project were served. Therefore, this project was never announced to be implemented without the revenues from carbon credits.

The following is the implementation timeline of the proposed project activity:

Development of the hydropower project	Activities taken to achieve CDM registration	Time	Implication on CDM
Approving Environmental Impact Assessment Report		21-Feb-2008	
Finalizing the Basic Design report		Feb-2009	
	Minutes of meetings to consult public opinions (local people and local authorities) on the social and environmental impacts of the hydropower project in order to develop it as a CDM activity.	02-Mar-2009 & 03-Mar-2009	CDM early consideration
Issuing the Investment License for the project		11-May-2009	
	Official letter submitted by the project owner to People's Committee (PPC) of Quang Nam province requesting verification and support for the CDM project.	20-Aug-2009	CDM early consideration
	Official letter submitted by the Project owner to the DNA requesting verification and support for the CDM project.	20-Aug-2009	CDM early consideration
Issuing the Investment Decis investment project and CDM Board.		14-Sept-2009	Date of making CDM project Investment decision



Signing the construction contract		21-Dec-2009	Starting date of the project activity
	Official letter submitted by the People's Committee of Quang Nam province to the DNA requesting verification and support for the CDM project.	06-Jan-2010	
	Notifying the CDM project to the EB and the DNA	07-Jun-2010	
Signing the Equipment Contract		19-Aug-2010	
Issuing the approval of FSR for the proposed project		30-May-2011	

C.4. Greenhouse gas		
Greenhouse Gas		
Carbon dioxide	X	
Methane		
Nitrous oxide		
C.5. Project Registration Type		
C.S. Froject Registration Type		
Project Registration Type		
Regular		



Pre-feasibility assessment	Retroactive projects (T.2.5.1)	Preliminary evaluation (e.g.: Large Hydro or palm oil-related project) (T.2.5.2)	Rejected by UNFCCC (T2.5.3)
	X	X	

The Start Date of project activity: 21/12/2009

## **SECTION D. Unique project identification**

## D.1. GPS-coordinates of project location

	Coordinates
Latitude	15° 48' 31.12" N
Longitude	107° 44' 43.74" E

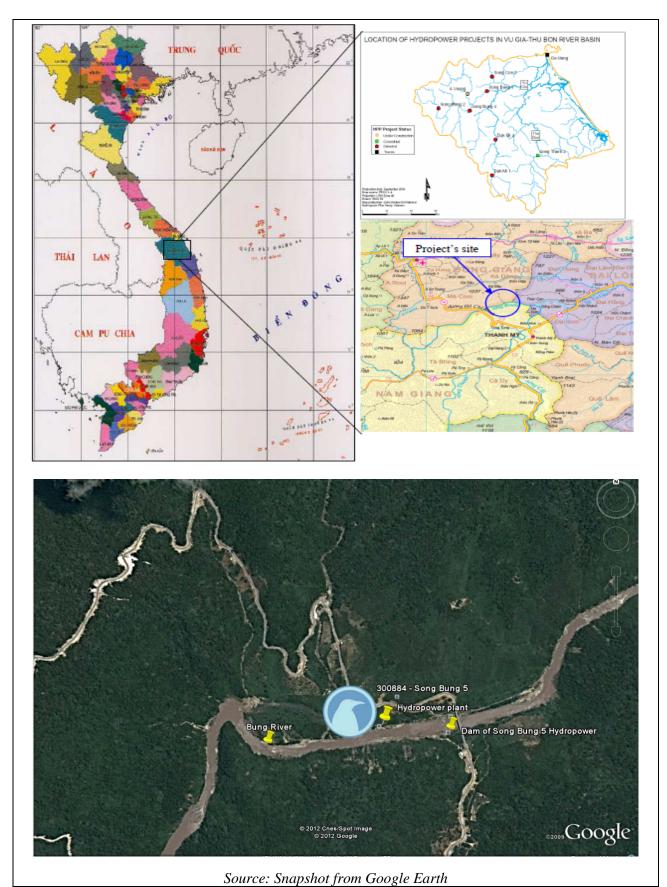


Explain given coordinates

The proposed project activity is located on Bung River in Ma Cooih commune, Dong Giang district and Thanh My town, Nam Giang district, Quang Nam province, Viet Nam. The above coordinates indicate the location of the dam. It is taken from the Feasibility Study Report.

### D.2. Map







### SECTION E. Outcome stakeholder consultation process

#### **E.1.** Assessment of stakeholder comments

Two stakeholder consultation meetings were held for Song Bung 5 Hydropower Project, one in Ma Cooih commune, Dong Giang district and one in Thach My town, Nam Giang district, Quang Nam province. The original copies of meeting minutes are attached as Annex 2.

I. Stakeholder consultation meeting in Ma Cooih commune, Dong Giang district

Date: 03 March 2009

Place: Meeting room at People's Committee of Ma Cooih commune, Dong Giang district

### Minutes of meeting:

- For the purpose of the meeting, Mr. Le Van Luc Representative of Power Engineering Consulting Joint Stock Company 1 presented the content of Feasibility Study Report of the proposed project and explained about CDM as well as need to develop and register the project under CDM.
- All participants agree on the implementation of the project as designed in the feasibility study report.

### List of attendants:

Name	Organization	Position
Le Van Luc	Power Engineering Consulting Joint Stock Company – Project owner	General Director
Nguyen Tai Son	Power Engineering Consulting Joint Stock Company – Project owner	Deputy Director General
Le Minh Ha	Power Engineering Consulting Joint Stock Company – Project owner	Accountant
A Lang Bang	Local Authorities	Secretary cum Chairman of People's Council
A Lang Hiep	Local authorities	Vice secretary
A Lang Trach	Local authorities	Chairman of People's Committee
A Lang Knenh	Local authorities	Chairman of Fatherland Front
A Rat Gro	Local authorities	Cadastral official
A Rat son	Local authorities	Chairman of Farmers Association
Po Loong Thi Bo	Local authorities	Chairwoman of Women Union
A Rat Boi	Local authorities	Secretary of Youth Union
A Lang Thanh	Local authorities	Secretary of A So village
A Lang Chin	Local authorities	Vice-chairman of A So village

II. Stakeholder consultation meeting in Thanh My town, Nam Giang district Date: 02 March 2009



Place: Meeting room at People's Committee of Ma Cooih commune, Dong Giang district

### Minutes of meeting:

- For the purpose of the meeting, Mr. Le Van Luc Representative of Power Engineering Consulting Joint Stock Company 1 presented the content of Feasibility Study Report of the proposed project and explained about CDM as well as need to develop and register the project under CDM.
- All participants agree on the implementation of the project as designed in the feasibility study report.

### List of attendants:

Name	Organization	Position	
Le Van Luc	Power Engineering Consulting Joint Stock Company – Project owner	General Director	
Nguyen Tai Son	Power Engineering Consulting Joint Stock Company – Project owner	Deputy Director General	
Le Minh Ha	Power Engineering Consulting Joint Stock Company – Project owner	Accountant	
Bho Nuoch Chien	Local Authorities	Secretary cum Chairman of People's Council	
Nguyen Cong Phi	Local authorities	Vice chairman of People's Committee	
Tran Phuoc Song	Local authorities	Chairman of People's Committee	
A Rat Thi Cuong	Local authorities	Chairman of Women Union	
Zo Rom Ua	Local authorities	Cadastral official	
A Rat Hien	Local authorities	Secretary of Youth Union	
A Rat Thay	Local authorities	Chairman of Farmers Association	
A Rat Crom	Local authorities	Secretary of Pa Dau 2 village	
A Lang Um	Local authorities	Vice-chairman of People's Committee of Pa Dau 2 village	

### E.2. Stakeholder Feedback Round

Please describe report how the feedback round was organized, what the outcomes were and how you followed up on the feedback.

This section will be filled in when the Stakeholder Feedback Round has been carried out.



## E. 3. Discussion on continuous input / grievance mechanism

Discuss the Continuous input / grievance mechanism expression method and details, as discussed with local stakeholders.

	Method Chosen (include all known details e.g. location of book, phone, number, identity of mediator)	Justification
Continuous Input / Grievance Expression Process Book	Comment books are made available at the project site and at the Office of the commune People's Committee so that local stakeholders can provide feedback on the proposed project.	Project site is the place where the local stakeholders can communicate directly (or anonymously via the comment book) with the project's Management Board. The office of the communal People's Committee is a standing unit of the People's Committee to deal with comments from local community on all matters of the commune and is the contact point between local authority and the residents. The comment books will be securely placed in the chosen locations and daily checked by responsible persons.
Telephone access	The telephone numbers of the Project Owner and the GS consultancy company are made available for local stakeholders to provide feedback on the project. Stakeholders can find the telephone number in the Comment Book or on the paper note at the project site.	The telephones are located at the office of the project owner at the project's site and at the office of the GS consultancy company to allow more practical communication with local stakeholders. There is always a receptionist at the desk to answer the calls or have the messages recorded. All received calls shall be logged and recorded in Comment Book with the date, comments, action requested and project responses. Stakeholders are not



		required to give their personal details when they wish to make a comment.
Internet/email access	The Project Owner has its website and its email and the GS consultancy company also has its website and its email for local stakeholders to provide feedback on the project.	There is always a web admin to receive the emails and website comments. All received emails and website comments shall be logged and recorded with the date, comments, action requested and project responses. Stakeholders are not required to give their personal details when they wish to make a comment on the website.
Nominated Independent Mediator (optional)	Not applied	Not applied

All issues identified during the crediting period through any of the Methods shall have a mitigation measure in place. The identified issue should be discussed in the revised Passport and the corresponding mitigation measure should be added to sustainability monitoring plan in section G.

## SECTION F. Outcome Sustainability assessment

## F.1. 'Do no harm' Assessment

Safeguarding	Description of relevance to my	Assessment of my	Mitigation
principles	project	project risks	measure
		breaching it	
		(low/medium/high)	
1. The project	The project respects internationally	Low	N/A
respects	proclaimed human rights. Viet Nam is a		
internationally	state party to 7 core UN human rights		
proclaimed human	treaties, including the UN International		
rights.	Covenant on Civil and Political Rights		
	(ICCPR) and the International		
	Covenant on Economic, Social and		
	Cultural Rights (ICESCR), to which it		
	acceded in 1982 <sup>4</sup> . Viet Nam is now		
	playing an increasing role in regional		
	and international affairs.		
2. The project does	The project does not involve and is not	Low	N/A
not involve and is not	complicit involuntary resettlement. As		
complicit in	expected in the FSR report by the		

<sup>&</sup>lt;sup>4</sup> http://www1.umn.edu/humanrts/research/ratification-vietnam.html



		T	T
involuntary	designer during the preparation stage,		
resettlement	there will be 17 household(s) affected		
	by the project activity. However, it is		
	the fact that these households agreed on		
	the compensation in cash without		
	resettlement because they do not lose		
	all of their land. There is no		
	resettlement occurred. This information		
	is documentarily evidenced and		
	checked on-site.		
3. The project does	Song Bung 5 hydropower project is	N/A	N/A
not involve and is not	implemented on a relatively small area		
complicit in the	(257 hectares), where is mainly thin and		
alteration, damage or	invaluable forest. There are neither rare		
removal of any	and precious species nor critical		
critical cultural	cultural heritage found in the project		
heritage	site. <sup>5</sup>		
4. The project	Labour rights are protected in the	N/A	N/A
respects the	Labour code of Viet Nam. The right to		
employees' freedom	unionize, bargain collectively are		
of association and	highly protected by this code. The		
their right to	proposed project activity fully respects		
collective bargaining	the employee's freedom and rights and		
and is not complicit	all related laws endorsed by		
in restrictions of	Vietnamese government.		
these freedom and	Ref. Labour code of Viet Nam, Article 7		
rights			
5. The project does	All employees are engaged in the	Low	N/A
not involve and is not	project implementation on a voluntary		
complicit in any form	basis. Forced or compulsory labour is		
of forced or	regulated in the Labour code of		
compulsory labour	Vietnam. The project fully respects the		
	employee's rights in accordance with		
	all labour related laws. The law		
	compliance is subject to government's		
	inspection and ruling. In case of any		
	terms of violation, due penalty would		
	be enforced as in accordance with the		
	regulations.		
	Ref. Labour code of Viet Nam, Article 9		
L			

<sup>5</sup> EIA report, Chapter 2, pp 53 - 54



6 The project does	The project does not involve the	Low	N/A
6. The project does	The project does not involve the	Low	IN/A
not employ and is not	employment and complicit of child		
complicit in any form	labour. The host country has its own		
of child labour	credible legislation in place prohibiting		
	child labour.		
	In Viet Nam, there is a comprehensive		
	definition of child labour in terms age		
	limitation, working hours, etc. Such		
	employment regulations are prescribed		
	in Labour code of Viet Nam.		
	The proposed project requires a limited		
	number of skilled employees to operate,		
	maintain and manage the plant.		
	Therefore, it does not employ and is not		
	complicit in any form of child labour.		
	Ref. Labour code of Viet Nam, Chapter		
	XI		
7. The project does	The project does not discriminate	Low	N/A
not involve and is not		LOW	IN/A
	against individuals and employment of		
complicit in any form	staff is not based on gender, race,		
of discrimination	religion, sexual orientation or on any		
based on gender race,	other basis. According to the interview		
religion, sexual	with project owner, there is strong		
orientation or any	solidarity among people from different		
other basis.	minority groups in the project site. In		
	Viet Nam, there is labour legislation		
	that protects against some facets of this		
	principle.		
	Ref. Labour Code of Viet Nam, Article		
	5		
8. The project	A hydro project in general does not	Low	N/A
provides workers	expose workers to unsafe or unhealthy		
with a safe and	work environment in terms of toxins or		
healthy work	chemicals. In addition the project		
environment and is	follows national safety rules under the		
not complicit in	host country laws that covers work		
exposing workers to	safety.		
unsafe or unhealthy	Ref. Labour code of Viet Nam, Article 7		
work environments.	J. Land and J. Land and J. Land Thomas I I worker /		
9. The project takes a	The project activity does not threaten	Low	N/A
precautionary	human health or the environment. This	LΟW	14/11
1	was checked before the construction		
approach in regard to	was checked before the constitution		



environmental	start by the project owner in the		
challenges and is not	framework of an EIA to see if the		
complicit in practices	components in the project activity are		
contrary to the	in compliance with the laws in various		
precautionary	aspects e.g. health & safety, hazardous		
principle.	waste release etc.		
10. The project does	The project does not involve and is not	N/A	N/A
not involve and is not	complicit in significant conversion or		
complicit in	degradation of critical natural habitats.		
significant	There are no critical natural habitats		
conversion or	located at or close to the project site.		
degradation of			
critical natural			
habitats, including			
those that are (a)			
legally protected, (b)			
officially proposed			
for protection, (c)			
identified by			
authoritative sources			
for their high			
conservation value,			
or (d) recognized as			
protected by			
traditional local			
communities.			
11. The project does	Viet Nam has ratified the United	Low	N/A
not involve and is not	Nations Convention against Corruption.		
complicit in	All permits that are required legally		
corruption.	have been obtained following		
	applicable laws <sup>6</sup> . Furthermore, the		
	project is owned by a private equity		
	company, and there is no governmental		
	subsidy disbursed to the project.		
	Therefore, the project does not involve		
	and is not complicit in corruption and is		
	not prone to entrusted power abuse nor		

 $^{6} \ \underline{\text{http://vi.wikipedia.org/wiki/C\%C3\%B4ng\ \%C6\%B0\%E1\%BB\%9Bc\ ph\%C3\%B2ng\ ch\%E1\%BB\%91ng\ tham\ nh\%C5\%A9ng}$ 



# F.2. Sustainable Development matrix

Gold Standard indicators of sustainable development.	Mitigation measure  If relevant copy mitigation measure from "do no harm" – table, or include mitigation measure used to neutralise a score of '-'	Relevance to achieving MDG  Check www.undp.or/m dg and www.mdgmonit or.org  Describe how your indicator is related to local MDG goals	Chosen parameter and explanation Defined by project developer	Preliminary score  Negative impact: score '-' in case negative impact is not fully mitigated score 0 in case impact is planned to be fully mitigated No change in impact: score 0 Positive impact: score '+'
Air quality	- Spraying water and covering material trucks' body to minimize dust; - Utilizing modern execution means to reduce exhaust gases and noise; - Making no operation of noisy machinery during the rest time of local residents; - Restoring green cover around the project site to balance ratio O2/¬CO2 and evaporation <sup>7</sup> .	Ensuring the environmental sustainability	Dust, GHG and other air pollutant: The air pollution mainly comes from the construction. Proper measures are employed to mitigate the potential impacts  During the operation period, the electricity generated by the project partially replaces electricity generation from other conventional sources of energy, and directly reducing emissions other than GHG such as SO <sub>x</sub> and NO <sub>x</sub> ,	0

<sup>&</sup>lt;sup>7</sup> EIA report, chapter IV, pp 105-106



			which contributes to the air quality improvement to a certain extent. However, such contribution is difficult to qualify or measure; therefore, this indicator is scored neutrally.	
Water quality	During the	Ensuring the	Excavation	0
and quantity	construction	environmental	debris, and	
	period, water	sustainability	contamination of	
	source may be		public resources	
	contaminated by various factors;		and water	
	,		supply: In order to avoid	
	however, proper		the water	
	mitigation measures are		contamination,	
	employed as		necessary	
	follows:		mitigation	
	- Excavation		measures are	
	debris is used for		employed.	
	the construction		Regarding the	
	of access roads.		water quantity, as	
	- Strictly		the reservoir of the	
	controlling		project is designed	
	discharge of		with spillway,	
	organic domestic		which allows	
	wastes. Wastes		water to pass over,	
	shall be collected		and circulated on	
	for disposal or		the daily basis, the	
	combustion;		water quantity	
	- Building		downstream will	
	standardized		not be affected.	
	water-closets;		Therefore,	
	waste oil is		compared to the	
	collected and		baseline there is	
	transported away		no significant	
	for treatment;		change. For those	
	- Rock, earth and		reasons, this	



I	I 101	I	l	
	solid waste are		indicator is scored	
	not allowed to be		neutrally.	
	discharged to the			
	river; disposal			
	sites are arranged			
	corresponding to			
	each construction			
	phase <sup>8</sup> .			
Soil condition	- The inundated	Ensuring the	Land loss and	0
	land area will be	environmental	erosion,	
	commensurately	sustainability	excavation	
	compensated for;		debris:	
	- To fill up the		During the	
	excavated areas		construction	
	where are		period, topsoil	
	exploited for		erosion may occur	
	building materials		within the project	
	as soon as		site due to the	
	possible;		excavation	
	- Excavation		activities.	
	debris, muck from		However, proper	
	the construction		mitigation	
	stage is disposed		measures will be	
	off safely at the		applied by the	
	proper site.		project owner.	
	- To place gabions		Therefore, this	
	(or stone-filled		indicator is scored	
	galvanized or		neutrally for	
	coated wire		conservative.	
	baskets) along		conscivative.	
	stream banks to			
	protect it from			
	erosion.			
	- Periodic			
	monitoring will			
	be conducted by a			
	qualified party to			
	ensure re-			
	plantation is			
	sufficient.			

<sup>&</sup>lt;sup>8</sup> EIA report, chapter IV, pp. 106-109



Other pollutants  - Noise mainly comes from the construction machinery, concrete easting and transportation vehicles, blasting activities. To address this impact, the project owner adopted low noise equipments.  Transportation and machine operations were avoided at night.  - For the area subject to permanent land occupation and semi-submerge, the Project owner shall cooperate with local authorities to prepare a proper compensation plan.  - For the area subject to temporary land occupation: it will be returned to its original owners after completion of the project.  - Access and service road will improve the transportation of local area.
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	- Solid/liquid waste from the construction stage are properly and safely collected and disposed off in accordance with local laws and regulations as relevant.			
Biodiversity	- The restoration of forest and watershed green cover is an urgent mission that needs to be done during the construction phase and regularly supplemented afterward To utilize new areas, bald areas or bushy areas for cultivation, and afforestation could help enrich the vegetable cover <sup>9</sup> .	Ensuring the environmental sustainability	Threatened plants and animals  As there is no water reduction area, the aquatic organisms and fish (if any) at the downstream will generally not be disturbed. On the other hand, the creation of reservoir will increase water surface area, which facilitates fishing and aquaculture.  The reservoir has daily flow regime and the dam is designed with spillway and discharge gate; therefore, there is almost no obstruction on migration of aquatic species.  In conclusion, there is no significant change	

<sup>&</sup>lt;sup>9</sup> EIA report, chapter IV, page 110



		to the livelihood	
		of plants or	
		animals before or	
		after the project;	
		therefore, this	
		indicator is scored	
		neutrally.	
Quality of	Eradicating	Training of staff,	+
employment	extreme poverty	labour	
	and hunger	conditions:	
		During the	
		construction and	
		operation phases,	
		a certain number	
		of jobs will be	
		provided to local	
		people <sup>10</sup> . Once	
		they are	
		employed, they	
		will be trained to	
		work as the	
		operators. These	
		employees will be	
		provided with	
		sufficient	
		accommodation,	
		and heath care as	
		required by local	
		laws. Hence, the	
		quality of	
		employment will	
		be enhanced	
		thanks to training	
		courses provided	
		to the workers and	
		rural labourers.	
		Jobs in the plant	
		will help local	
		people improve	
		their living	

<sup>&</sup>lt;sup>10</sup> EIA report, Chapter 3, pp 86-87



Livelihood of	For those who	Eradicating	standards and reduce social evils in the region. Regarding occupation health management, the management board of the project shall issues regulations for the implementation of health care measures, food safety and hygiene inspection as required by Ministry of Health.  Livelihood of	0
the poor	lose their land	extreme poverty	workers and residents:	
	permanently, the project owner shall closely cooperate with local authorities to make a proper compensation plan. In case of temporary land occupation, it will be returned to its owner after completion of the project.	and hunger	The project will improve the livelihood of those hired through income. The residents who are living at the project site may lose their land and attached assets for the purpose of project implementation. However, those residents will receive commensurate compensation made by the project owner in accordance with	



		local and national	
		laws. There are	
		immigrants in the	
		project area, who	
		come for work	
		only and no	
		competition in	
		term of livelihood	
		with native	
		residents. The	
		impact is not	
		significant and	
		difficult to qualify	
		or measure;	
		therefore, the	
		indicator is scored	
		neutrally.	
Access to	Contributing to	Change in energy	+
affordable and	eradicate	use:	·
clean energy	extreme poverty	The project will	
services	and hunger	reduce	
Services	una nanger	dependency on	
		expensive fossil	
		fuels (local, diesel,	
		natural gas, etc.)	
		and create more	
		affordable clean	
		energy for Viet	
		Nam. The	
		electricity	
		generated by the	
		project activity	
		will be delivered	
		to the national	
		grid, thus	
		alleviating the	
		power shortage in	
		the country. For	
		those reasons, this	
		indicator is scored	
		positively.	



1	1	l =	l
Human and		Public	0
institutional		participation,	
capacity		education and	
		skills, gender	
		equality:	
		Although the	
		project will	
		improve the	
		human and	
		institutional	
		capacity through	
		involvement of	
		stakeholders in the	
		meeting, the	
		overall benefits	
		are not significant.	
		In practice, only	
		the employees	
		working on the	
		project can be	
		considered as the	
		main beneficiaries.	
		There is also an	
		equality of both	
		male and female	
		participation.	
Quantitative	Contributing to	Household	+
employment and	eradicate	income,	
income	extreme poverty	employment	
generation	and hunger	creation:	
		Project will	
		employ people	
		during the	
		construction and	
		operation phases	
		including local	
		residents, thereby	
		increasing local	
		income. It is	
		expected that there	
		will be roughly 15	
		- 20 jobs created.	



Balance of	Level of fuel	0
payments and	import:	-
investment	In Viet Nam,	
	thermal power	
	plants are using	
	coal as fuel which	
	is expensive fossil	
	fuel. Therefore,	
	renewable power	
	plants like	
	hydropower plants	
	will decrease	
	dependency on	
	these expensive	
	fossil fuels.	
	However, since	
	this impact is	
	small in relation to	
	macro-economic	
	perspective, a	
	neutral score is	
	chosen.	
Technology	Introduction of	0
transfer and	new technology	
technological	in the region,	
self-reliance	along with	
	training and	
	workshops:	
	The project owner	
	shall use the	
	environmentally	
	safe and sound	
	technology which	
	is imported	
	abroad. Enclosing	
	with the	
	equipment is	
	usage manual and	
	training course for	
	the operator	
	conducted by the	
	supplier. Hence,	



	technology
	transfer will be
	achieved.
	However, this
	impact is difficult
	to qualify or
	measure;
	therefore, this is
	scored "neutral"
	for conservative.
Justification choices	, data source and provision of references
Air quality	The plant does not emit the substances above; therefore, it imposes no impact
7 in quanty	on air quality.
	During the construction, there are factors that affect the air quality such as
	dust, waste gases from executing means, vehicles, blasting activities; however,
	the project proponents have applied proper mitigation measures i.e. spraying
	water on the road, covering material truck, using modern executing means.
	Hence, this indicator is given score "neutral". The information will be
	evaluated in the Environmental Impact Assessment Report (EIA) to be sent to
	DNA of Viet Nam.
	The project will result in GHG reductions; detail on the calculation of this
	reduction is available in the project design document (PDD).
Water quality and	Thanks to the mitigation measures as stated in the SD matrix, the water quality
quantity	will be ensured to meet the relevant standard.
	Regarding the water quantity, as proposed in the FSR the reservoir of the
	project is designed with spillway and discharge gate, and applies the daily-
	regulation regime; therefore, water quantity downstream is not affected.
	Furthermore, there is another hydro plant (Song Bung 6) at downstream of
	Bung river, which utilizes water discharged from Song Bung 5 hydropower
	plant for the operation. Hence, the water volume after the dam of Song Bung 5
	hydropower plant shall be sufficient for the operation of Song Bung 6.
Soil condition	According to the approved EIA report, in order to mitigate negative impacts on
	soil condition, especially topsoil erosion, the project owner will apply the
	following measures among the others;
	- The contractor shall arrange reasonable time and frequency for the earthwork
	e.g. excavation in order that it does not occur during the rainy season.
	- In building the access roads, taking advantage of original terrain, contour to
	restrict the slope breaking, talus building of high slope and large size.
	- In the worst case, it is necessary to grow grass or native plants that are of
	high covering speed or to embank the talus with rock for erosion prevention.



	- Minimize the vegetable clearance in the project site and surrounding areas.
	- Implement reforestation with the area equivalent to that lost due to the project activity.
	Hence, the score "neutral" is chosen for this indicator.
Other pollutants	The project shall ensure that the level of noise pollution shall be within the maximum permissible level for the industry. As the project does not create other pollutants such as ash, it is cleaner than the coal power plants it partially replaces. Waste will be collected for a proper treatment. The project owner will prohibit any unauthorized discharge of organic waste by the workers on the site. Disposal sites are arrange in comfort with each construction period. This information is stated in the EIA report.  - For the area of permanent land occupation and semi-submerge: The project owner shall cooperate with local authorities to make a proper compensation plan.  - For the area of temporary land occupation: it will be returned to its owner after the completion of the project. Access and service road will improve transportation of local area.
Biodiversity	Impacts on flora and fauna are negligible. This information will be
	demonstrated in the EIA.  In order to restore the green cover in the impacted areas, the project owner shall conduct plantation in the campus of such facilities as power house, reservoir, etc. For the temporarily occupied land areas such as industrial parking place, service road, worker accommodation, disposal site, etc. they will be restored with plants when the project is operated.
Quality of employment	The project will create employment opportunities, involving various jobs, for technicians, qualified and unskilled workers. Labour contract shall be made in accordance with host country laws.
Livelihood of the poor	The project contributes to the local development by creating more employments during the construction and operation phases. The project also contributes to local budget via taxes. As small scale hydropower projects are often located in inaccessible and poor areas, this is especially important. The project is expected to provide jobs for a hundred of local people during both construction and operation phase. For those who have their land affected by the proposed project, they will receive commensurate compensation in accordance with local and national laws. Livelihoods of the local residents will be secured because apart from affected land area, they also have other land parcels where they can move to for cultivation. The immigrants in the project area come for work only; therefore, they are not affected by the project implementation in term of livelihoods. This information will be evaluated in the EIA.



Access to affordable	The project will reduce dependency on expensive fossil fuels (coal, diesel,
and clean energy	natural gas, etc.) and create more affordable clean energy for Vietnam.
services	Electrical energy generated by the project will be supplied to the national grid
	under pending Power Purchase Agreement (PPA).
Human and	Project will contribute to increase the skills for new employees and bring about
institutional capacity	a higher level of awareness of important environmental issues. This
	information will be evaluated in the EIA.
Quantitative	Project will generate employment opportunities and income to the local
employment and	community during both the construction and operation phases. This
income generation	information will be confirmed during the site visit.
Balance of payments	In Viet Nam, thermal power plants are using coal as fuel which is expensive
and investment	fossil fuel. Therefore, renewable power plants like hydropower plants will
	decrease dependency on these expensive fossil fuels. Ref. FSR
Technology transfer	Project will provide opportunities to access new technologies via training,
and technological	workshops. This indicator can be substantiated by technical manuals and/or
self-reliance	training records.

SECTION G. Sustainability Monitoring Plan	
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No		1
Indicator		Air quality
Mitigation measure		- Spraying water on the road, and covering material trucks to avoid dust dispersion;
		- Utilizing modern technology to reduce waste gases emission
		- Restoring green cover around the project site to balance ratio O <sub>2</sub> /CO <sub>2</sub> and evaporation.
		- Making no operation of noisy machinery during the rest time of local residents;
Chosen parameter		Dust, waste gases, and other air pollutant including noise
Current situation of parameter		Dust, waste gases and other pollutant are emitted into the atmosphere.
Estimation of baseline situation of parameter		No dust, waste gases and other pollutant are emitted into the atmosphere.
Future target for parameter		The emission of dust, waste gases and other pollutants is restricted
Way of monitoring	How	Air quality examination
	When	During the construction and operation



	By who	Project owner / environment centre

No		2
Indicator		Water quality and quantity
Mitigation measure		- Excavation debris is used for the construction of access roads;
		- Strictly controlling discharge of organic domestic wastes. Wastes shall be collected for disposal or combustion;
		- Building standardized water-closets; waste oil is collected and transported away for treatment;
		- Rock, soil and solid waste are not allowed to be discharged to the river; disposal sites are arranged corresponding to each construction phase.
Chosen parameter		- Contamination of public resources
		- Minimum flow
Current situation of parameter		Change to the water quality
Estimation of baseline situation of parameter		Water resources are not contaminated
Future target for param	neter	- Water quality is up to the local standard
		- Minimum flow at the downstream is secured
Way of monitoring	How	Water quality examination
	When	During the construction and operation
	By who	Project owner / environment centre

No	3
Indicator	Soil condition
Mitigation measure	- The inundated land area will be commensurately compensated for;
	- To fill up the excavated areas where are exploited for building materials as soon as possible;
	- Excavation debris, muck from the construction activities is disposed off safely at the proper site.
	- To place gabions along stream banks to protect it from erosion.



Chosen parameter		Land loss, erosion	
Current situation of parameter		Land is occupied for the implementation of the project	
Estimation of baseline situation of parameter		The site is untouched by human activities	
Future target for parameter		Land loss will be commensurately compensated for; land erosion will be restricted by plantation.	
Way of monitoring How When By who		Compensation documents, site visit	
		During the construction and operation	
		Project owner	

No	4		
Indicator	Biodiversity/Fish passage		
Mitigation measure	- The dam is designed with spillway and discharge gate that allow fish to move back and forth;		
	- In order to restore the green cover in the impacted areas, the project owner shall conduct plantation in the campus of such facilities as power house, reservoir, etc.		
	- To utilize new areas, bald areas or bushy areas for the cultivation and afforestation could help enrich the green cover.		
	- For the temporarily occupied land areas such as industrial parking place, service road, worker accommodation, disposal site, etc. they will be restored with plants when the project is operated.		
Chosen parameter	- Fish passage		
	- Cultivation of plants and afforestation for impacted areas		
Current situation of parameter	- Fish migration is disturbed		
	- Green cover is impacted by the project activity		
Estimation of baseline situation of	- Fish passage is not disturbed		
parameter	- Green cover stays natural		
Future target for parameter	- Fish passage is designed properly to allow fish migration back and forth		
	- Impacted areas to be recovered with plantation and afforestation		



Way of monitoring	How	On-site check
	When	During the construction and operation
	By who	Project owner

No		5		
Indicator		Quality of employment		
Mitigation measure		n/a		
Chosen parameter		Training records, functions of jobs created, labour conditions of the project activity, occupation health management, safeguards put in place and living standards of the plant staff.		
Current situation of pa	rameter	Current situation of parameter is equal to baseline situation		
Estimation of baseline situation of parameter		Staff to be employed for the project are most local people having poor educational background		
Future target for parameter		- The staffs are trained on the technical issues relating to the operation of the plant. They will receive the professional certificate.		
		- Jobs help local people improve their living standard by receiving the payment made by the project owner and reduce social evils in the region.		
		- Labour condition of the project activity is secured to safeguard effective management of occupation health. The project owner shall be in cooperation with local authorities and medical centre to conduct health check-up for the plant staffs; issue policies regarding health care for the plant staff.		
Way of monitoring	How	Checking documentation, internship, interview		
	When	Once per given period		
	By who	The project owner		

No	6
Indicator	Access to affordable and clean energy services
Mitigation measure	n/a
Chosen parameter	The operation of hydropower plant
Current situation of parameter	Using hydropower, a clean energy, instead of fossil fuel energy



Estimation of baseline situation of parameter		There was no power or using fossil fuel based energy with high price	
Future target for parameter		Reducing the dependence on expensive fossil fuels (coal, diesel, etc.) and creating more affordable clean energy	
Way of monitoring	How	Checking consumption of clean energy (i.e. hydropower)	
When		Once per given period	
By who		Project owner/CDM consultant	

No		7		
Indicator		Quantitative employment and income generation		
Mitigation measure		n/a		
Chosen parameter		Employment creation/income generation		
Current situation of parameter		Both long-term and short-term jobs have been created during the construction and operation processes.		
Estimation of baseline situation of parameter		No new jobs are created as the project activity did not exist.		
Future target for parameter		The number of jobs and income will be increased		
Way of monitoring How		Through the evaluation of documents for wages paid and social security documents.		
	When	Once per given period		
By who		Project owner		

## Additional remarks monitoring

N/A

# **SECTION H.** Additionality and conservativeness



This section is only applicable if the section on additionality and/or your choice of baseline does not follow Gold Standard guidance

## H.1. Additionality



Additionality assessment is performed according to the "Tool for the demonstration and assessment of additionality", version 06.0.0 approved by UNFCCC. Details are available in the registered PDD.

### **H.2.** Conservativeness

To assess conservativeness, comparison between the methodology versions of CDM registered PDD and the latest applicable version at the time of first submission of GS documentation; the analysis of same is provided as below:

Methodology Section	Version 12.2	Version 13
Applicability Conditions (General)	This methodology is applicable to grid-connected renewable power generation project activities that (a) install a new power plant at a site where no renewable power plant was operated prior to the implementation of the project activity (greenfield plant); (b) involve a capacity addition; (c) involve a retrofit of (an) existing plant(s); or (d) involve a replacement of (an) existing plant(s).	This methodology is applicable to grid-connected renewable power generation project activities that (a) install a new power plant at a site where no renewable power plant was operated prior to the implementation of the project activity (greenfield plant); (b) involve a capacity addition; (c) involve a retrofit of (an) existing plant(s); or (d) involve a replacement of (an) existing plant(s).
Applicability Conditions (General)	The project activity is the installation, capacity addition, retrofit or replacement of a power plant / unit of one of the following types: hydropower plant / unit (either with a run- of-river reservoir or an accumulation reservoir), wind power plant / unit, geothermal power plant / unit, solar power plant / unit, wave power plant/unit or tidal power plant / unit;	The project activity is the installation, capacity addition, retrofit or replacement of a power plant / unit of one of the following types: hydropower plant / unit (either with a run- of-river reservoir or an accumulation reservoir), wind power plant / unit, geothermal power plant / unit, solar power plant / unit, wave power plant/unit or tidal power plant / unit;
Applicability Conditions (General)	In the case of capacity additions, retrofits or replacements (except for wind, solar, wave or tidal power capacity addition projects which use Option 2: on page 10 to calculate the parameter EGPJ,y): the existing plant started commercial operation prior to the start of a minimum historical reference period of five years, used for the calculation of baseline emissions and defined in the baseline emission section, and no capacity expansion or retrofit of the plant has been undertaken between the start of this minimum historical reference period and the implementation of the project activity.	In the case of capacity additions, retrofits or replacements (except for wind, solar, wave or tidal power capacity addition projects which use Option 2: on page 10 to calculate the parameter EGPJ,y): the existing plant started commercial operation prior to the start of a minimum historical reference period of five years, used for the calculation of baseline emissions and defined in the baseline emission section, and no capacity expansion or retrofit of the plant has been undertaken between the start of this minimum historical reference period and the implementation of the project activity.
Applicability Conditions (Hydro)	In case of hydro power plants:  • The project activity is implemented in an existing single or multiple reservoir, with no change in the volume of any of reservoir; or  • The project activity is implemented in an existing single or multiple reservoirs, where the volume of reservoir is increased and the power density of each reservoir, as per the definitions given in the Project Emissions section, is greater than 4 W/m²; or  • The project activity results in new single or multiple reservoirs and the power density of each reservoir, as per definitions given in the Project Emissions section, is greater than 4	In case of hydro power plants:  • The project activity implemented in an existing single or multiple reservoir, with no change in the volume of any reservoirs; or  • The project activity is implemented in an existing single or multiple reservoirs, where the volume of reservoir is increased and the power density of each reservoir, as per the definitions given in the Project Emissions section, is greater than 4 W/m2; or  • The project activity results in new single or multiple reservoirs and the power density of each reservoir, as per definitions given in the Project Emissions section, is greater than 4



	$W/m^2$ .	$W/m^2$ .
Applicability	In case of hydro power plants using multiple	In case of hydro power plants using multiple
Conditions	reservoirs where the density of any of the reservoirs	reservoirs where the power density of any of the
(Hydro)	is lower than 4 W/m <sup>2</sup> ; all the following conditions	reservoirs is lower than 4 W/m <sup>2</sup> ; after
	must apply:	implementation of the project activity all of the
	• The power density calculated for the entire	following conditions must apply:
	project activity using equation 5 is greater than	• The power density calculated for the entire
	4 W/m2;	project activity using equation 5 is greater
	Multiple reservoirs and hydro power plants	than 4 W/m2;
	located at the same river and where are	Multiple reservoirs and hydro power plants
	designed together to function as an integrated	located at the same river and where are
	project that collectively constitute the	designed together to function as an integrated
	generation capacity of the combined power	project that collectively constitutes the
	plant;	generation capacity of the combined power
	• Water flow between multiple reservoirs is not	plant;
	used by any other hydropower unit which is not	• Water flow between the multiple reservoirs is
		not used by any other hydropower unit which
	a part of the project activity;	
	• Total installed capacity of the power units,	is not a part of the project activity;
	which are driven using water from the	Total installed capacity of the power units,  which are driven using water from the
	reservoirs with power density lower than 4	which are driven using water from the
	W/m2, is lower than 15MW;	reservoirs with power density lower than 4
	• Total installed capacity of the power units,	W/m2, is lower than 15MW;
	which are driven using water from reservoirs	Total installed capacity of the power units.
	with power density lower than 4 W/m2, is less	which are driven using water from reservoirs
	than 10% of the total installed capacity of the	with power density lower than 4 W/m2, is less
	project activity from multiple reservoirs.	than 10% of the total installed capacity of the
		project activity from multiple reservoirs.
Applicability	This methodology is not applicable to project	This methodology is not applicable to project
Conditions	activities that involve switching from fossil fuels to	activities that involve switching from fossil fuels
(General)	renewable energy sources at the site of the project	to renewable energy sources at the site of the
	activity, since in this case the baseline may be the	project activity, since in this case the baseline
	continued use of fossil fuels at the site;	may be the continued use of fossil fuels at the
Applicability	This methodology is not applicable to the biomass	site; This methodology is not applicable to the biomass
Conditions	fired power plants;	fired power plants;
(General)	inea power plants,	ined power plants,
(General)		
Applicability	This methodology is not applicable to hydro power	This methodology is not applicable to hydro
Conditions	plant that results in the creation of a new single	power plant that results in the creation of a new
(General)	reservoirs or in the increase in an existing single	single reservoirs or in the increase in an existing
,	reservoirs where the power density of the power	single reservoirs where the power density of the
	plant is less than 4 W/m <sup>2</sup>	power plant is less than 4 W/m <sup>2</sup>
Inclusion of	Baseline: CO <sub>2</sub> included; Project: Reservoir	Baseline: CO <sub>2</sub> included; Project: Reservoir
BE and PE	emissions - CH <sub>4</sub> included; Project: Fossil fuel	emissions - CH <sub>4</sub> included; Project: Fossil fue
Gases	combustion - CO <sub>2</sub> exclude.	combustion - CO <sub>2</sub> exclude.
Baseline	If the project activity is the installation of a new	If the project activity is the installation of a new
Assessment	grid-connected renewable power plant/unit, the	grid-connected renewable power plant/unit, the
	baseline scenario is the following: Electricity	baseline scenario is the following: Electricity
	delivered to the grid by the project activity would	delivered to the grid by the project activity would
	have otherwise been generated by the operation of	have otherwise been generated by the operation of
	grid-connected power plants and by the addition of	grid-connected power plants and by the addition
	new generation sources, as reflected in the	of new generation sources, as reflected in the
	combined margin (CM) calculations described in	combined margin (CM) calculations described in
	the "Tool to calculate the emission factor for an	the "Tool to calculate the emission factor for ar
	electricity system".	electricity system".
Additionality	Not Required	Not Required
Assessment	<u>^</u>	
Methodologic	Baseline Emissions: Electricity supplied to grid	Baseline Emissions: Electricity supplied to grid



(	ll Choices ER calculation)	(green field option)  Project Emissions: Neglected for fossil consumption in hydro projects (Table 1 - project activity emissions for hydro projects)  Leakage: Neglected	(green field option)  Project Emissions: Fossil fuel consumption (Neglected). As per ver. 13 on page 6: The use of fossil fuels for the back up or emergency purposes (e.g. diesel generators) can be neglected.  Leakage: Neglected
	Grid Emission Factor	As per registered PDD; GEF = 0.5764 tCO2/MWh (Tool to calculate the emission factor for an electricity system Version 02.2.1)	As per latest published data, the GEF of Vietnam is 0.5408 tCO2/MWh, which is lower than registered PDD. Thereby the ERs will be updated accordingly.

At the time of first submission, the emission factor has been calculated and published by the host country DNA (i.e. DNA of Vietnam) using the latest relevant EF tool and data availability at 0.5408 tCO2/MWh which is lower than GEF applied in registered PDD i.e. 0.5764 tCO2/MWh. Applying the conservativeness principle, the PP has applied the lower EF value in the calculation of emission reductions to be generated by the proposed project. These emission reductions will supersede those in the registered PDD for the purpose of GS registration.

Year	Estimation of project activity emissions (tonnes of CO <sub>2</sub> e)	Estimation of baseline emissions (tonnes of CO <sub>2</sub> e)	Estimation of leakage (tonnes of CO <sub>2</sub> e)	Estimation of overall emission reductions (tonnes of CO <sub>2</sub> e)
2012 (01/07/2012 - 31/12/2012)	0	61,349	0	61,349
2013	0	122,699	0	122,699
2014	0	122,699	0	122,699
2015	0	122,699	0	122,699
2016	0	122,699	0	122,699
2017	0	122,699	0	122,699
2018	0	122,699	0	122,699
2019 (01/01/2019 - 30/06/2019)	0	61,349	0	61,349
Total (tCO <sub>2</sub> e)	0	858,892	0	858,892

**ANNEX 1 ODA declaration** 



To be include	ed before the validation starts
L	
A NINIEW 2	Minutes of Mastins

1. Ma Cooih commune



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## CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM Độc lập - Tự do - Hạnh phúc

# BIÊN BẢN CUỘC HỌP

V/v: Tham khảo ý kiến nhân dân về tác động Kinh tế -Xã hội của dự án thủy điện Sông Bung 5 để thực hiện dự án Cσ chế phát triển sạch (CDM)

Hôm nay ngày 03 / 3 /2009, tại xã Mà Cooih, huyện Đông Giang, tỉnh Quảng Nam. Đại diện Chủ đầu tư dự án thủy điện Sông Bung 5 tiến hành cuộc họp với đại diện chính quyền địa phương và đại diện nhân dân trong xã để tham khảo ý kiến về tác động Kinh tế - Xã hội và Môi trường của dự án đến địa phương;

Thành phần tham dự gồm có:

### I. Đại diện chính quyền địa phương và nhân dân:

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## II. Đại diện Chủ đầu tư - Công ty cổ phần Tư vấn xây dựng điện 1

Ông: Lê Văn Lực

Chức vụ: Tổng Giám đốc

Ông: Nguyễn Tài Sơn

Chức vụ: Phó Tổng Giám đốc

(3) Ông: Lê Minh Hà

Chức vụ: Kế toán trưởng công ty

Hội nghị đã nghe ông Lê Văn Lực - Tổng Giám đốc Công ty cổ phần Tư vấn xây dựng Điện 1 đại diện Chủ Dự án trình bảy nội dung của báo cáo Dự án đầu tư và đánh giá những tác động Kinh tế - Xã hội và Môi trường của dự án thủy điện Sông Bung 5.

#### 1. Các tác động tích cực:

- Dự án sản xuất một nguồn điện sạch và ổn định, góp phần thúc đẩy quá trình điện khí hoá nông thôn, nâng cao dân trí và phát triển sản xuất tại địa phương, góp phần thúc đẩy sự phát triển nền kinh tế chung của khu vực;
- Tạo công ăn việc làm cho người dân địa phương, giảm tỷ lệ thất nghiệp của địa phương. Khi công trình đi vào xây dựng và vận hành, nhu cầu về lương



- thực và nhu yếu phẩm tăng cao sẽ tạo điều kiện sản xuất các mặt hàng nông sản và các dịch vụ thương mại của nhân dân địa phương phát triển;
- Góp phần nâng cao đời sống của nhân dân trong vùng, thu hẹp khoảng cách về kinh tế, văn hoá giữa các dân tộc và các khu vực trong vùng;
- Đóng góp vào ngân sách địa phương thông qua thuế;
- Trong quá trình triển khai, việc tiếp xúc, làm việc, trao đổi thông tin với các cán bộ và công nhân có trình độ sẽ giúp người dân địa phương nâng cao dân trí và trình độ văn hoá, học hỏi kinh nghiệm và cách thức làm việc;

### 2. Các tác động tiêu cực và biện pháp khắc phục

- Về tác động tiêu cực: Dự án sẽ làm ảnh hưởng đến một số vấn đề về môi trường tự nhiện như: tạo ra tiếng ổn, bụi trong quá trình thi công; làm ngập một phần thám thực vật; làm thay đổi nhỏ đến hệ động thực vật trên cạn và dưới nước.
- Biện pháp khắc phục: Các tác động tiêu cực này sẽ được giám sát chặt chẽ trong quá trình thi công nhằm giảm thiểu các tác động xấu như: bổ trí xe phun nước liên tục trong công trường, phối hợp với chính quyền địa phương và các cơ quan đề xuất các biện pháp trồng và bảo vệ rừng đầu nguồn, tăng cường giám sát bảo vệ các loài động thực vật...

### 3. Kết luận

- Toàn thể đại biểu đều nhất trí ủng hộ chủ trương xây dựng công trình với các nội dung được nêu trong báo cáo Dự án đầu tư;
- Dự án có tác động tốt đến sự phát triển kinh tế xã hội trong khu vục. kể

T/M ĐẢNG ỦY XÃ p. BÍ THƯ

MA COCHA

T/M CHÍNH QUYÈN ĐỊA PHƯƠNG CHỦ TỊCH UBND XÃ

T/M CHỦ ĐẦU TƯ

CÔNG TY CÔ PHẨN

TONG GIÁM ĐÓC

ê Văn Lưc

4LÅNG TRÁCF

T/M HỘI ĐÔNG NHÂN DẦN XÃ

Alăng Bang

ALăng Hiệp

T/M MẬT TRẬN TỔ QUỐC XÃ ĐỊA CHÍNH, XÃ Aláng KRônh T/M HỘI PHỤ NỮ XÃ T/M HOLNÔNG DÂN XÃ CHÚ TỊCH polonythi Bê Dolar Sm T/M ĐẢNG ỦY THÔN A SỜ T/M ĐOÀN TNCS HÒ CHÍ MINH XÃ BÍ THƯ ĐOẠN BÍ THƯ THÔN Arâl Bii T/M THÔN A SỜ TRƯỚNG THÔN



### 2. Thanh My town





## CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM Độc lập - Tự do - Hạnh phúc

# BIÊN BẢN CUỘC HỌP

V/v: Tham khảo ý kiến nhân dân về tác động Kinh tế -Xã hội của dự án thủy điện Sông Bung 5 để thực hiện dự án Cơ chế phát triển sạch (CDM)

Hôm nay ngày 03 / 3 / 2009, tại Thị trấn Thạnh Mỹ, huyện Nam Giang, tỉnh Quảng Nam. Đại diện Chú đầu tư dự án thủy điện Sông Bung 5 tiến hành cuộc họp với đại diện chính quyền địa phương và đại diện nhân dân trong xã để tham khảo ý kiến về tác động Kinh tế - Xã hội và Môi trường của dự án đến địa phương;

Thành phần tham dự gồm có:

I. Đại diện chính quyền địa phương và nhân dân:

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## II. Đại diện Chủ đầu tư - Công ty cổ phần Tư vấn xây dựng điện 1

Ông: Lê Văn Lực Chức vụ: Tổng Giám đốc
 Ông: Nguyễn Tài Sơn Chức vụ: Phó Tổng Giám đốc
 Ông: Lê Minh Hà Chức vụ: Kế toán trưởng công ty

Hội nghị đã nghe ông Lê Văn Lực - Tổng Giám đốc Công ty cổ phần Tư vấn xây dựng Điện 1 đại diện Chủ Dự án trình bày nội dung của báo cáo Dự án dầu tư và đánh giá những tác động Kinh tế - Xã hội và Môi trường của dự án thủy điện Sông Bung 5.

#### 1. Các tác động tích cực:

- Dự án sản xuất một nguồn điện sạch và ổn định, góp phần thúc đẩy quá trình điện khí hoá nông thôn, nâng cao dân trí và phát triển sản xuất tại địa phương, góp phần thúc đẩy sự phát triển nền kinh tế chung của khu vực;
- Tạo công ăn việc làm cho người dân địa phương, giảm tỷ lệ thất nghiệp của địa phương. Khi công trình đi vào xây dựng và văn hành, nhu cầu về lương

- thực và nhu yếu phẩm tăng cao sẽ tạo điều kiện sản xuất các mặt hàng nông sản và các dịch vụ thương mại của nhân dân địa phương phát triển;
- Góp phần nâng cao đời sống của nhân dân trong vùng, thu hẹp khoảng cách về kinh tế, văn hoá giữa các dân tộc và các khu vực trong vùng;
- Đóng góp vào ngân sách địa phương thông qua thuế;
- Trong quá trình triển khai, việc tiếp xúc, làm việc và trao đổi thông tin với các cán bộ và công nhân có trình độ sẽ giúp người dân địa phương nâng cao dân trí và trình độ văn hoá, học hỏi kinh nghiệm và cách thức làm việc;

## 2. Các tác động tiêu cực và biện pháp khắc phục

- Về tác động tiêu cực: Dự án sẽ làm ảnh hưởng đến một số vấn đề về môi trường tự nhiện như: tạo ra tiếng ổn, bụi trong quá trình thi công; làm ngập một phần thám thực vật; làm thay đổi nhỏ đến hệ động thực vật trên cạn và dưới nước.
- Biện pháp khắc phục: Các tác động tiêu cực này sẽ được giám sát chặt chẽ trong quá trình thi công nhằm giám thiểu các tác động xấu như: bố trí xe phun nước liên tục trong công trường, phối hợp với chính quyền địa phương và các cơ quan đề xuất các biện pháp trồng và bảo vệ rừng đầu nguồn, tăng cường giám sát bảo vê các loài đông thực vật...

### 3. Kết luận

- Toàn thể đại biểu đều nhất trí úng hộ chủ trương xây dựng công trình với các nội dung được nêu trong báo cáo Dự án đầu tư;
- Dự án có tác động tốt đến sự phát triển kinh tế xã hội trong khu vực. \*

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BÍ THƯ ĐOÀN

T/M ĐOÀN TNCS HỘ CHÍ MINH T/M ĐẢNG ỦY THÔN PÀ DẦU 2 BÍ THƯ THÔN.

T/M THÔN PÀ DẦU 2 O TRƯỞNG THÔN

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